

# Limits of diagonalization

## Diagonalization

- 1)  $\forall$  TM has effective representation
- 2) Existence of universal machine that simulates any other machine without much overhead in time or space.

Diagonalization approach treat MT as a black box. The machine's internal working do not matter.

We provide an idea why  $P \neq NP$  cannot be resolved using diagonalization.

Def An oracle Turing machine.

$\boxed{MT}$  + oracle tape and three special states  $q_{query}, q_{yes}, q_{no}$ . Specify language  $O \in \{0,1\}^*$ .

$M^O(x)$  - output of  $M$  with oracle access to language  $O$ .

Non-deterministic oracle MTs are defined similarly.

Def  $P^O, NP^O$ .

Example (i)  $\overline{SAT} \in P^{SAT}$ .

(ii)  $O \in P \Rightarrow P^O = P$

(iii)  $EXPCOM = \{ \langle M, x, 1^n \rangle : M \text{ outputs } 1 \text{ on } x \text{ within } 2^n \text{ steps} \}$

$EXP \subseteq P^{EXPCOM}$

$NP^{EXPCOM} \subseteq EXP$  - simulate oracle requests in EXP time and enumerate all certificates in EXP time.

Theorem  $\exists$  oracles  $A$  and  $B$  s.t.

$$P^A = NP^A \text{ and } P^B \neq NP^B.$$

Proof  $A = \text{EXPCOM}$ .

For any language  $B$  construct language

$$U_B = \{1^n : \exists x \in B \text{ and } |x| = n\}$$

construct desired  $B$  step by step.

Stage  $i$  ensures that  $M_i$  does not decide  $U_B$  in  $\frac{2^i}{10}$  time

string represented by  $1^i$

Choose  $n$  big enough s.t. its length exceeds length of any string in  $B$ .

Run  $M_i$  on  $1^n$ , answer oracle queries correctly, if value is not determined say the word does not belong  $B$ .

If  $M_i$  answer yes assign all strings of length  $n$  to do not belong  $B$ .  
~~Exclude all strings of length  $n$  to do not belong  $B$ .~~

If  $M_i$  answer no assign one string into  $B$  whose fate was not determined before.

Such string exists as  $\frac{2^i}{10} + \frac{2^{i-1}}{10} + \dots + 1$

$$\frac{2^i}{10} < 2^i$$

Any  $P(n) < \frac{2^i}{10}$  from some point as each  $M$  appears infinitely often.

# Space complexity

Def Let  $S: \mathbb{N} \rightarrow \mathbb{N}$  and  $L \subseteq \{0,1\}^*$ .

$L \in \text{SPACE}(S(n))$  if  $\exists$  constant  $c$  and TM  $M$  deciding  $L$  such at most  $c \cdot S(n)$  locations of  $M$ 's work tapes (excluding input tape) are ever visited by  $M$ 's head during its computation on every input of length  $n$ .

Similarly  $L \in \text{NSPACE}(S(n))$ .

Consider only space constructible functions here. I.e.  $\exists$  TM  $M$  that computes  $S(|x|)$  in  $O(S(|x|))$  space given  $x$  as input.

$\log n, n, 2^n$  are space constructible.

Here, we think that work tape is separated from input tape.



input tape

work tape

output tape?

Reasonable to consider  $S(n) \leq n$ .

we require  $S(n) \geq \log n$ .

Note  $\Delta \text{Time}(S(n)) \in \text{SPACE}(S(n))$ .

## Theorem

$$\Delta \text{Time}(S(n)) \in \text{SPACE}(S(n)) \subseteq \text{NSPACE}(S(n)) \subseteq \Delta \text{Time}(2^{O(S(n))})$$

Def Configuration of TM  $M$  consists of the contents of all non-blank entries of  $n$  tapes, its state, and head position.

For every <sup>space  $S(n)$</sup>   ~~$S(n)$~~  TM  $M$  and input  $x \in \{0,1\}^*$  configuration graph —  $G_{M,x}$

↓  
directed graph.

nodes — possible configurations of  $M$  on input  $x$ .

tapes have at most  $|x|$  nonblank cells.

$C \rightarrow C'$  if  $C'$  is reachable in one step.

$M$ -deterministic outdeg = 1

$M$ -non-deterministic outdeg  $\leq 2$ .

$M \rightsquigarrow M'$  such that accept configuration contains empty working tape and 1 on output tape.

Claim let  $G_{M,x}$  configuration graph for  $S(n)$  space MT  $M$  on some input  $x$  of length  $n$ .

1) Every vertex can be described in  $O(S(n))$  bits.

2)  $\exists O(S(n))$ -size CNF  $\varphi_{M,x}$  s.t.

$\varphi_{M,x}(C, C') = 1$  iff  $C \rightarrow C'$ .

↳ As exercise for  $P$

From Breadth-First-Search follows  $\in DTime[2^{O(S(n))}] \subseteq NSPACE(S(n)) \subseteq DTime[2^{O(S(n))}]$  (4)

Construct graph and run BFS.

Def PSPACE =  $\bigcup_{c>0} \text{SPACE}(n^c)$

NSPACE =  $\bigcup_{c>0} \text{NSPACE}(n^c)$

$L = \text{SPACE}(\log n)$

$NL = \text{NSPACE}(\log n)$

Example 3SAT  $\in$  PSPACE.

Example EVEN, MULT in L.

Open Question 3SAT  $\stackrel{?}{\in}$  L.

**PSPACE COMPLETENESS**

Def L' is PSPACE hard if  $\forall L \in \text{PSPACE}$   
 $L \leq_p L'$ . if  $L' \in \text{PSPACE} \Rightarrow L'$  is PSPACE-complete.

Def A quantified Boolean formula (QBF) is a formula of the form  $Q_1 x_1 \dots Q_n x_n \phi(x_1, \dots, x_n)$

$\forall Q_i \in \{\forall, \exists\}$ ,  $x_i \in \{0, 1\}$ ,  $\phi$  - Boolean formula

Example  $\forall x \exists y (x \vee y) \vee (\bar{x} \wedge \bar{y})$  - true  
"  $\forall x \exists y x=y$  - false

$\forall x \forall y (x \vee y) \vee (\bar{x} \wedge \bar{y})$  - false

Example SAT is QBF:  $\forall i Q_i = \exists$ .

TAUTOLOGY is QBF:  $\forall i Q_i = \forall$ .

Theorem TQBF is PSPACE-complete.

Proof  $\Psi = Q_1 x_1 \dots Q_n x_n \varphi(x_1, \dots, x_n)$

Membership

$S_{n,m}$  - space that algorithm uses on formulas with  $n$  variables and description size  $m$ .

Write  $\Psi|_{x_i=0}$ , solve recursively

Save answer

Write  $\Psi|_{x_i=1}$  above  $\Psi|_{x_i=0}$ , solve recursively

$$S_{n,m} = S_{n-1,m} + O(m) \Rightarrow S_{n,m} = O(nm)$$

TQBF  $\in$  PSPACE.

completeness

Let  $L \in$  PSPACE,  $M$  decides  $L$  in  $S(n)$  space.

We construct TQBF of size  $O(S(n)^2)$

$m = O(S(n))$  number of bits in order to encode configuration of machine  $M$ .

$\exists \varphi_m$  s.t.  $\varphi_m(c, c') = 1$  iff  $c \rightarrow c'$ .

Goal to build  $\Psi$  with two unquantified variables s.t.  $\Psi(c, c')$  if  $\exists$  directed path from  $c$  to  $c'$ .

$\Psi(c_{\text{start}}, c_{\text{accept}}) = 1$  iff  $M$  accepts  $x$ .

$$\varphi_0(c, c') = \varphi_{M,x}(c, c')$$

$\varphi_i(c, c')$  - distance between  $c$  and  $c' \leq 2^i$ .

$$\varphi_i(c, c') = \exists c'' \varphi_{i-1}(c, c'') \wedge \varphi_{i-1}(c'', c')$$

⑥

Length is too big.

$$\exists c'' \vee D_1^* \vee D_2 \left( (D^1 = c' \vee D^2 = c'') \vee \right.$$

$$\left. \vee (D^1 = c'' \wedge D^2 = c') \right) \Rightarrow \psi_{i-1}(D^1, D^2).$$

$$\text{size}(\psi_i) \leq \text{size}(\psi_{i-1}) + O(m)$$

$$\Rightarrow \text{size}(\psi_m) \leq O(m^2).$$

✱

$$\begin{array}{l} x \rightarrow y \\ 1 \quad 0 \\ y \vee \bar{x} \end{array}$$