

# Information Retrieval

## Query Processing

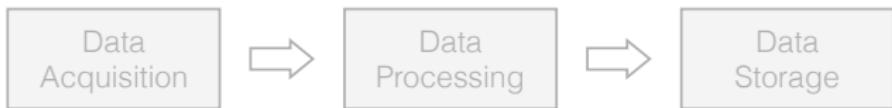
Ilya Markov

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# This block

Offline



Online

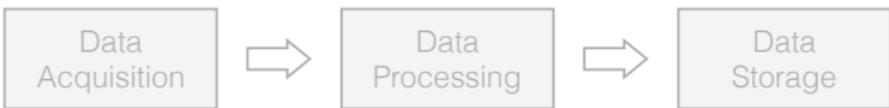


Advanced

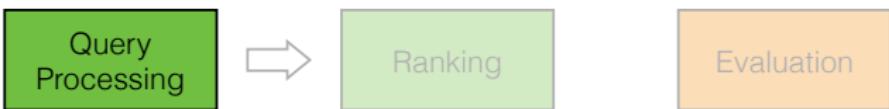


# This lecture

Offline



Online



Advanced



# Outline

1 Spell checking

2 Query expansion

3 Query suggestion

4 Query auto-completion

5 Summary

# Outline

## 1 Spell checking

- Simple typos
- Homophones
- Multiple corrections
- Considering context

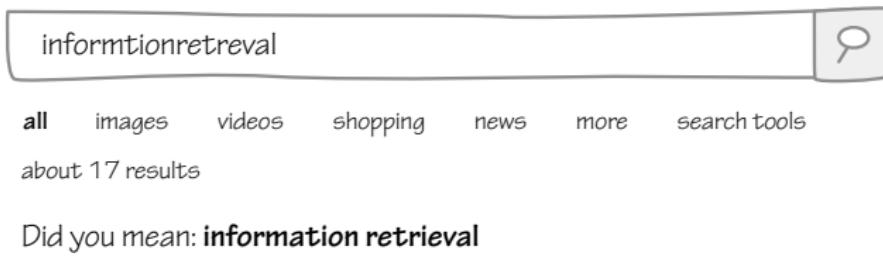
## 2 Query expansion

## 3 Query suggestion

## 4 Query auto-completion

## 5 Summary

# Spell checking



F. Cai and M. de Rijke, "A Survey of Query Auto Completion in Information Retrieval"

# Outline

- 1 Spell checking
  - Simple typos
  - Homophones
  - Multiple corrections
  - Considering context

# Simple typos

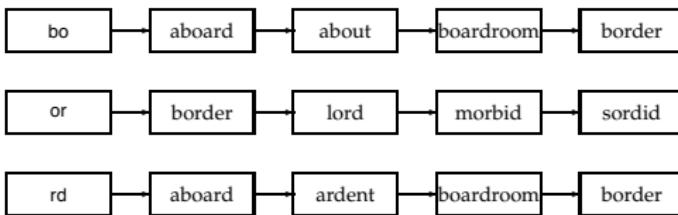
- exten~~s~~ions → extensions (*insertion error*)
- poin~~e~~r → pointer (*deletion error*)
- marshm~~e~~llow → marshm~~a~~llow (*substitution error*)
- br~~i~~m~~i~~ngham → birm~~i~~ingham (*transposition error*)

Use an edit distance, e.g., Damerau-Levenshtein distance

Consider only words that...

- start with the same letter
- are of the same or similar length

# k-gram index optimization



- ① Consider the misspelled word “bord”
- ② In one pass, find all words that contain at least two bi-grams of “bord”: aboard, boardroom, border
- ③ For each candidate, calculate the Jaccard coefficient  
 $|A \cap B| / |A \cup B|$
- ④ For “boardroom” it is  $2 / (8 + 3 - 2)$
- ⑤ All required numbers can be obtained efficiently

Manning et al., “Introduction to Information Retrieval”

# Outline

## 1 Spell checking

- Simple typos
- Homophones
- Multiple corrections
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# Soundex code

- ① Keep the first letter (in uppercase)
- ② Replace these letters with hyphens: a, e, o, i, u, y, h, w
- ③ Replace the other letters by numbers as follows
  - ① b, f, p, v
  - ② c, g, j, k, q, s, x, z
  - ③ d, t
  - ④ l
  - ⑤ m, n
  - ⑥ r
- ④ Delete adjacent repeats of a number
- ⑤ Delete the hyphens
- ⑥ Keep the first three numbers or pad our with zeros

# Soundex code example

- exten**s**sions → E235; exten**s**ons → E235
- poiner → M625; pointe**r** → M625
- marshme**ll**ow → B655; marshma**ll**ow → B655
- bri**m**ingham → P560; birm*ing*ham → P536

# Outline

- 1 Spell checking
  - Simple typos
  - Homophones
  - Multiple corrections
  - Considering context

# Noisy channel model

- ① A person chooses a word  $w$  to output (i.e., write), based on a probability distribution  $P(w)$
- ② The person tries to write the word  $w$
- ③ The noisy channel (e.g., the person's brain) causes the person to output the word  $e$  with probability  $P(e | w)$

# Dealing with multiple corrections

- Rank corrections by  $P(w | e)$

$$P(w | e) = \frac{P(e | w)P(w)}{P(e)} \propto P(e | w)P(w)$$

- $P(w)$  is the probability of the word  $w$  in a collection

$$P(w) = \frac{tf(w)}{\sum_{w_i \in C} tf(w_i)}$$

- $P(e | w)$  can be estimated in different ways, e.g., by assigning the same probability to errors with the same edit distance

# Outline

- 1 Spell checking
  - Simple typos
  - Homophones
  - Multiple corrections
  - Considering context

# Considering context

- Rank corrections by  $P(e | w)\hat{P}(w)$
- Where  $\hat{P}(w) = \lambda P(w) + (1 - \lambda)P(w | w_p)$
- Example: “fish tink”
  - Possible corrections “think”, “tank”
  - $P(tank | fish) > P(think | fish)$
  - Correct as “fish tank”

# Spell checking summary

- Simple typos
  - Edit distance
  - $k$ -gram index optimization
- Homophones
  - Soundex code
- Multiple corrections
  - Noisy channel model
- Considering context

# Outline

## 1 Spell checking

## 2 Query expansion

- Thesauri
- Relevance feedback
- Using query-log

## 3 Query suggestion

## 4 Query auto-completion

## 5 Summary

# Outline

- 2 Query expansion
  - Thesauri
  - Relevance feedback
  - Using query-log

# Thesauri

- Controlled vocabulary with canonical terms
- Manual thesauri, e.g., WordNet
- **Automatically derived thesauri**

# Term association measures

- Dice's coefficient

$$\frac{2 \cdot n_{ab}}{n_a + n_b} \propto \frac{n_{ab}}{\cancel{n_a + n_b}}$$

- Mutual information

$$\log \frac{P(a, b)}{P(a)P(b)} = \log N \cdot \frac{n_{ab}}{n_a \cdot n_b} \propto \frac{n_{ab}}{\cancel{n_a \cdot n_b}}$$

- Expected mutual information

$$P(a, b) \log \frac{P(a, b)}{P(a)P(b)} = \frac{n_{ab}}{N} \cdot \log N \cdot \frac{n_{ab}}{n_a \cdot n_b} \propto \cancel{n_{ab}} \cdot \log N \cdot \frac{n_{ab}}{\cancel{n_a \cdot n_b}}$$

- Pearson's  $\chi^2$

$$\frac{(n_{ab} - N \cdot P(a) \cdot P(b))^2}{N \cdot P(a) \cdot P(b)} = \frac{(n_{ab} - N \cdot \frac{n_a}{N} \cdot \frac{n_b}{N})^2}{N \cdot \frac{n_a}{N} \cdot \frac{n_b}{N}} \propto \frac{(n_{ab} - \frac{1}{N} \cdot n_a \cdot n_b)^2}{\cancel{n_a \cdot n_b}}$$

# Term association example

<i>MIM</i>	<i>EMIM</i>	$\chi^2$	<i>Dice</i>
zoologico	water	arlsq	species
zapanta	species	happyman	wildlife
wrint	wildlife	outerlimit	fishery
wpfmc	fishery	sportk	water
weighout	sea	lingcod	fisherman
waterdog	fisherman	longfin	boat
longfin	boat	bontadelli	sea
veracruzana	area	sportfisher	habitat
ungutt	habitat	billfish	vessel
ulocentra	vessel	needlefish	marine
needlefish	marine	damaliscu	endanger
tunaboot	land	bontebok	conservation
tsolwana	river	taucher	river
olivacea	food	orangemouth	catch
motoroller	endanger	sheepshead	island

Croft et al., "Search Engines, Information Retrieval in Practice"

# Thesauri discussion

- Pros: does not need user input
- Cons: expands each term separately

# Outline

- 2 Query expansion
  - Thesauri
  - Relevance feedback
  - Using query-log

# Relevance feedback

- ① The user issues a (short, simple) query
- ② The system returns an initial set of retrieval results
- ③ **Some returned results are identified as relevant or non-relevant**
- ④ The system computes a better representation of the information need based on this feedback
- ⑤ The system displays a revised set of retrieval results

# Types of feedback

## ① Relevance feedback

- Users explicitly mark relevant and non-relevant results

## ② Pseudo-relevance feedback

- The top- $k$  results are assumed to be relevant

## ③ Implicit relevance feedback

- Relevant and non-relevant results are identified based on user behavior

# Relevance feedback example

## 1. Badmans Tropical Fish

A freshwater aquarium page covering all aspects of the **tropical fish** hobby. ... to Badman's **Tropical Fish**. ... world of aquariology with Badman's **Tropical Fish**. ...

## 2. Tropical Fish

Notes on a few species and a gallery of photos of African cichlids.

## 3. The Tropical Tank Homepage - Tropical Fish and Aquariums

Info on **tropical fish** and **tropical aquariums**, large **fish** species index with ... Here you will find lots of information on **Tropical Fish** and **Aquariums**. ...

## 4. Tropical Fish Centre

Offers a range of aquarium products, advice on choosing species, feeding, and health care, and a discussion board.

## 5. Tropical fish - Wikipedia, the free encyclopedia

**Tropical fish** are popular aquarium **fish**, due to their often bright coloration. ... Practical Fishkeeping • **Tropical Fish** Hobbyist • Koi. Aquarium related companies: ...

## 6. Tropical Fish Find

Home page for **Tropical Fish** Internet Directory ... stores, forums, clubs, **fish** facts, **tropical fish** compatibility and aquarium ...

## 7. Breeding tropical fish

... interested in keeping and/or breeding **Tropical**, Marine, Pond and Coldwater **fish**. ... Breeding **Tropical Fish** ... breeding **tropical**, marine, coldwater & pond **fish**. ...

## 8. FishLore

Includes **tropical** freshwater aquarium how-to guides, FAQs, **fish** profiles, articles, and forums.

## 9. Cathy's Tropical Fish Keeping

Information on setting up and maintaining a successful freshwater aquarium.

## 10. Tropical Fish Place

**Tropical Fish** information for your freshwater **fish** tank ... great amount of information about a great hobby, a freshwater **tropical fish** tank. ...

- Pseudo-relevance feedback  
**tropical** (26), **fish** (28),  
**aquarium** (8), **freshwater** (5),  
**breeding** (4),  
**information** (3), **species** (3)

- (Implicit) relevance feedback  
**breeding** (4), **fish** (4),  
**tropical** (4), **marine** (2),  
**pond** (2), **coldwater** (2)

# Relevance feedback implementation

- Depends on a retrieval model
- Will be discussed during the next lectures

# Outline

## 2 Query expansion

- Thesauri
- Relevance feedback
- Using query-log

# Using query-log

- Find associated terms in user queries
- Short pieces of text are easier to analyze
- Example for “tropical fish”  
stores, pictures, live, sale, types, clipart,  
blue, freshwater, aquarium, supplies

# Query expansion summary

- Thesauri and term association measures
- Relevance and pseudo-relevance feedback
- Using query-log

# Outline

1 Spell checking

2 Query expansion

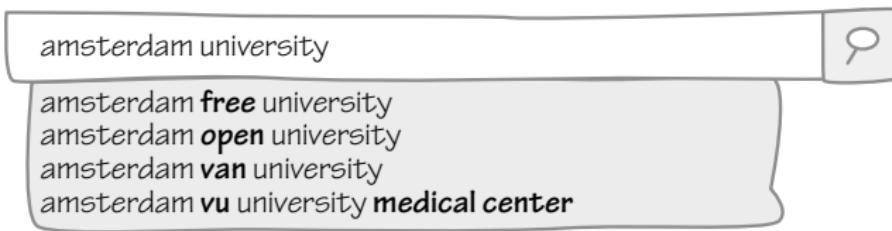
3 Query suggestion

- Session-based query suggestion
- Click-through-based query suggestion

4 Query auto-completion

5 Summary

# Query suggestion



F. Cai and M. de Rijke, "A Survey of Query Auto Completion in Information Retrieval"

# Query suggestion

- Similar to query expansion
- In practice, mainly based on query-logs
  - Session-based query suggestion
  - Click-through-based query suggestion
  - It is always useful to add some sort of query similarity

# Outline

3

## Query suggestion

- Session-based query suggestion
- Click-through-based query suggestion

# Adjacency and co-occurrence

- Adjacency in the same search session  $P(q \rightarrow s)$
- Co-occurrence in the same search session  $P(q, s)$

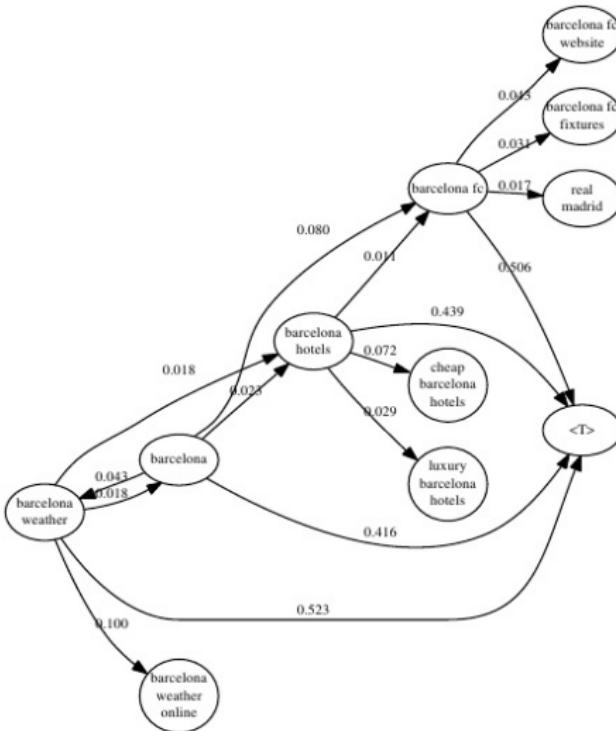
# Query flow graph

- $G(Q, E)$  – query flow graph
- $Q$  – set of all queries
- $E \subseteq Q \times Q$  – query transitions
- $r : E \rightarrow \mathbb{N}$  – the number of times a transition was observed
- $w(i, j)$  – weight of a transition

$$w(i, j) = \frac{r(i, j)}{\sum_{k:(i, k) \in E} r(i, k)}$$

# Query flow graph

- Start with the initial query
- Perform a random walk with the transition probabilities  $w(i, j)$
- Suggest queries based on the posterior probabilities



Picture taken from <http://www.slideshare.net/ChaToX/agei>

# Outline

## 3 Query suggestion

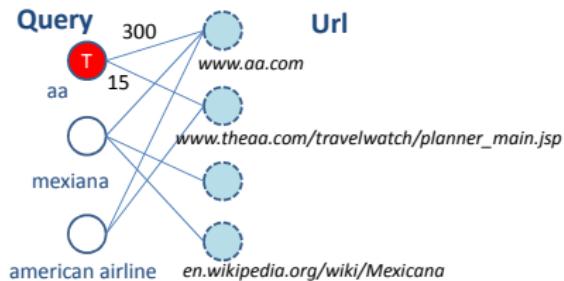
- Session-based query suggestion
- Click-through-based query suggestion

# Clustering

- ① Cluster queries based on clicked URLs
- ② Suggest queries from the same cluster

# Bipartite graph

- $G(V_1 \cup V_2, E)$  – bipartite graph
- $V_1$  – set of all queries
- $V_2$  – set of all URLs
- $E \subseteq V_1 \times V_2$  – edge from a query to a clicked URL
- $w(i, k)$  – click frequency for query  $i$  and result  $k$



Q. Mei et al., "Query Suggestion Using Hitting Time"

# Bipartite graph

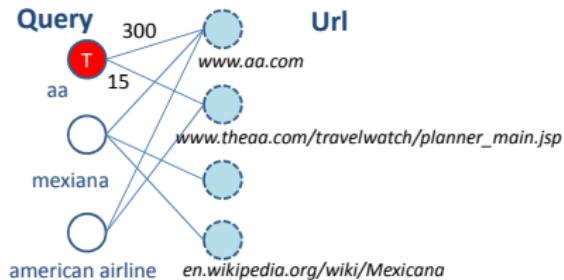
- Given a query, construct a subgraph with  $n$  queries using depth-first search
- Perform a random walk on this subgraph

$$p_{ij} = \sum_{k \in V_2} \frac{w(i, k)}{\mathcal{Z}_i} \frac{w(k, j)}{\mathcal{Z}_j}$$

- For each query accumulate time

$$h_i(t+1) = \sum_j p_{ij} h_j(t)$$

- Suggest queries with the smallest final time



Q. Mei et al., "Query Suggestion Using Hitting Time"

# Query suggestion summary

- Session-based query suggestion
  - Adjacency and co-occurrence
  - Query flow graph
- Click-through-based query suggestion
  - Co-clicked URLs and clustering
  - Bipartite graph
- It is always useful to add some sort of query similarity

# Outline

1 Spell checking

2 Query expansion

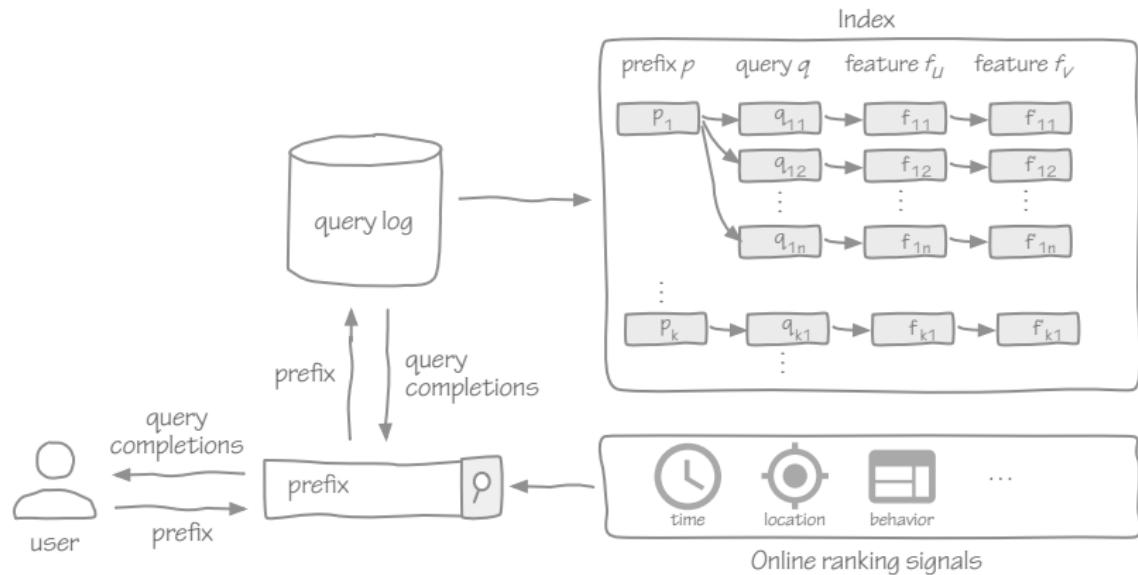
3 Query suggestion

4 Query auto-completion

- Frequency-based QAC
- Time-sensitive QAC
- User-centered QAC
- Learning-based QAC

5 Summary

# Query auto-completion



F. Cai and M. de Rijke, "A Survey of Query Auto Completion in Information Retrieval"

# Outline

4

## Query auto-completion

- Frequency-based QAC
- Time-sensitive QAC
- User-centered QAC
- Learning-based QAC

# Most popular completion

$$MPC(p) = \operatorname{argmax}_{q \in C(p)} \frac{f(q)}{\sum_{q_i \in Q} f(q_i)}$$

- $p$  – prefix
- $q$  – query
- $C(p)$  – candidate completions
- $Q$  – all queries
- $f(q)$  – frequency of query  $q$

# Outline

4

## Query auto-completion

- Frequency-based QAC
- Time-sensitive QAC
- User-centered QAC
- Learning-based QAC

# Time-sensitive QAC

$$TS(q, t) = \operatorname{argmax}_{q \in C(p)} \frac{\hat{f}_t(q)}{\sum_{q_i \in Q} \hat{f}_t(q_i)}$$

- $t$  – time
- $\hat{f}_t(q)$  – estimated frequency of query  $q$  at time  $t$

## Time-sensitive QAC (cont'd)

$$\hat{f}_{t+1} = \lambda \cdot f_t + (1 - \lambda) \cdot \bar{f}_{t-1}$$

- $f_t$  – observed frequency at time  $t$
- $\bar{f}_{t-1}$  – smoothed frequency at time  $t - 1$

$$\hat{f}_t = \lambda \cdot f_t^{trend} + (1 - \lambda) \cdot f_t^{period}$$

- $f_t^{trend}$  – predicted popularity of query  $q$  based on recent trends
- $f_t^{period}$  – predicted popularity of query  $q$  based on periodicity

# Outline

4

## Query auto-completion

- Frequency-based QAC
- Time-sensitive QAC
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- Learning-based QAC

# User-centered QAC 1

$$UC1(q) = \lambda \cdot \cos(v_q, v_C) + (1 - \lambda) \cdot MPC(q)$$

- $v_q$  – vector representation of query  $q$
- $C$  – context (e.g., previous user queries in the current session)
- $\cos$  – cosine similarity
- $MPC$  – most popular completion

# User-centered QAC 2

$$UC2(q) = \lambda \cdot sim(q, Q_s) + (1 - \lambda) \cdot sim(q, Q_u)$$

- $sim$  – some similarity score (e.g., cosine)
- $Q_s$  – previous user queries in the current session
- $Q_u$  – all previous user queries

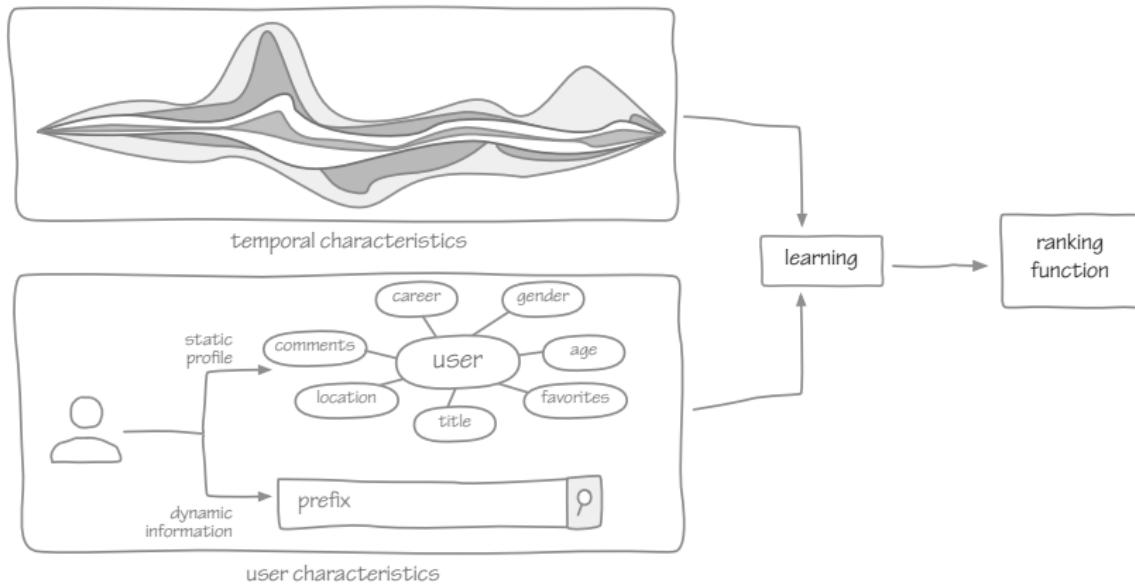
# Outline

4

## Query auto-completion

- Frequency-based QAC
- Time-sensitive QAC
- User-centered QAC
- Learning-based QAC

# Learning-based QAC



F. Cai and M. de Rijke, "A Survey of Query Auto Completion in Information Retrieval"

# Learning-based QAC

- Popularity-based features
  - Previous observations
  - Future predictions
- Semantic features
  - Semantic relatedness of terms in queries
  - Temporal correlation between queries
- User behavior features

# QAC summary

- Frequency-based QAC
- Time-sensitive QAC
- User-centered QAC
- Learning-based QAC

# Outline

1 Spell checking

2 Query expansion

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5 Summary

# Query processing summary

- Spell checking
- Query expansion
- Query suggestion
- Query auto-completion

# More query processing

- Analyze syntactical structure
- Extract entities
- Interpret semantics

# Materials

- Croft et al., Chapter 6.2
- Manning et al., Chapters 3.3–3.4, 9.2
- L. Meng

## **A Survey on Query Suggestion**

International Journal of Hybrid Information Technology, 2014

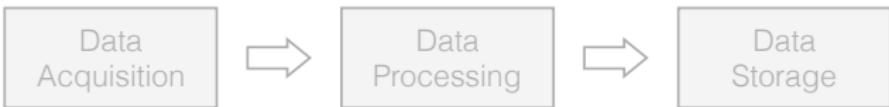
- F. Cai, M. de Rijke

## **A Survey of Query Auto Completion in Information Retrieval**

Foundations and Trends in Information Retrieval, 2016

# Remaining lectures in this block

Offline



Online



Advanced

